

07/24/98  
1c377 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
Attorney Docket No.: BCM-03434

A

Box Patent Application  
Assistant Commissioner For Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Stephen J. Elledge and Qinghua Liu for Improved Rapid Subcloning Using Site-Specific Recombination.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date July 24, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EM 121 602 896 US addressed to: Box Patent Application, Assistant Commissioner For Patents, Washington, D.C. 20231.

  
Lisa K. Levine

1c518 U.S. PTO  
09/122384  
07/24/98

1. **Type Of Application**  
This new application is for a(n)  
☒ Original (nonprovisional)  
☒ Continuation-In-Part (C-I-P).
2. **Benefit Of Prior U.S. Application(s)** (35 U.S.C. §§ 119(e), 120, or 121)  
☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
3. **Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application**  
75 Pages of Specification  
7 Pages of Claims  
1 Page of Abstract  
34 Sheets of Informal Drawings
4. **Declaration**  
☒ Enclosed  
☒ Unexecuted.
5. **Inventorship Statement**  
The inventorship for all the claims in this application is:  
☒ the same
6. **Language**  
☒ English
7. **Assignment**  
☒ An assignment of the invention to Baylor College of Medicine is attached.  
☒ Form PTO-1595 will follow.

8. Fee Calculation (37 C.F.R. § 1.16)

☒ Regular application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$790.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	36 - 20 =	16 × \$22.00 =	\$352.00
Independent Claims (37 C.F.R. § 1.16(b))	6 - 3 =	3 × \$82.00 =	\$246.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$270.00 =		\$0.00
Filing Fee Calculation			\$1388.00

9. Small Entity Statement(s)

☒ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of above) \$694.00

10. Fee Payment Being Made At This Time

☒ Enclosed

☒ basic filing fee

\$694.00

Total Fees Enclosed \$694.00

11. Method of Payment of Fees

☒ Check in the amount of \$694.00

12. Authorization To Charge Additional Fees and Credit Overpayment

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

13. Power of Attorney by Assignee

☒ Enclosed

14. Return Receipt Postcard

☒ Enclosed

Dated: July 24, 1998

David A. Casimir  
Registration No.: P-42,395

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☒ Incorporation By Reference Of Added Pages

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed

Number of pages added 1

ADDED PAGES FOR APPLICATION TRANSMITTAL  
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

15. **Relate Back**

A. 35 U.S.C. § 119(e)

B. 35 U.S.C. §§ 120, 121 and 365(c)

☒ This is a Continuation-in-Part of U.S. Patent Application Serial Number 08/864,224, filed February 28, 1997

16. **Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed**

a. This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are ☒ the same.

b. This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are ☒ the same.

17. **Notification In Parent Application Of This Filing**

☒ A notification of the filing of this

☒ continuation-in-part

is being filed in the parent application from which this application claims priority under 35 U.S.C. § 120.

08/864,224-182260